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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/591,921 Karl-Heinz Altmann

33683-US-PCT

INTERNATIONAL APPLICATION NO.

1095 **NOVARTIS** CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 104/3 **EAST HANOVER, NJ 07936-1080**

PCT/EP05/02756 I.A. FILING DATE PRIORITY DATE 03/15/2005 03/16/2004

CONFIRMATION NO. 5380 371 FORMALITIES LETTER *OC000000023852992*

Date Mailed: 05/14/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/07/2006
- Copy of the International Search Report filed on 09/07/2006
- Copy of IPE Report filed on 09/07/2006
- Preliminary Amendments filed on 09/07/2006
- Information Disclosure Statements filed on 09/07/2006
- Oath or Declaration filed on 09/07/2006
- U.S. Basic National Fees filed on 09/07/2006
- Priority Documents filed on 09/07/2006
- Specification filed on 09/07/2006
- Claims filed on 09/07/2006
- Abstracts filed on 09/07/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

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If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

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FORM PCT/DO/EO/905 (371 Formalities Notice)